SERFF Tracking Number: NALH-126282540 State: Arkansas State Tracking Number: Filing Company: Midland National Life Insurance Company 43366

Company Tracking Number: AR214A

TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

AR214A/AR214A Project Name/Number:

## Filing at a Glance

Company: Midland National Life Insurance Company

Product Name: Ownership/Assignment SERFF Tr Num: NALH-126282540 State: Arkansas

Endorsement

Filing Type: Form

TOI: A10 Annuities - Other SERFF Status: Closed-Approved- State Tr Num: 43366

Closed

Sub-TOI: A10.000 Annuities - Other Co Tr Num: AR214A State Status: Approved-Closed

Reviewer(s): Linda Bird

Authors: Laurie Christensen, Disposition Date: 08/28/2009 Deanna Hoffman, Stacy Reece,

Chris Cairns, Joan Huss, Amy

Peterson

Date Submitted: 08/27/2009 Disposition Status: Approved-

Closed

Implementation Date Requested: On Approval Implementation Date:

State Filing Description:

#### General Information

Project Name: AR214A Status of Filing in Domicile: Pending

Project Number: AR214A Date Approved in Domicile: Requested Filing Mode: Review & Approval **Domicile Status Comments:** Explanation for Combination/Other: Market Type: Individual Submission Type: New Submission Group Market Size:

Overall Rate Impact: Group Market Type:

Filing Status Changed: 08/28/2009 Explanation for Other Group Market Type:

State Status Changed: 08/28/2009

Deemer Date: Created By: Deanna Hoffman

Submitted By: Stacy Reece Corresponding Filing Tracking Number:

Filing Description:

RE: MIDLAND NATIONAL LIFE INSURANCE COMPANY

NAIC #431-66044 FEIN #46-0164570

New Submission Product Description

AR214A Ownership/Assignment Endorsement

SERFF Tracking Number: NALH-126282540 State: Arkansas
Filing Company: Midland National Life Insurance Company State Tracking Number: 43366

Company Tracking Number: AR214A

TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

Project Name/Number: AR214A/AR214A

We are filing the above Endorsement for your review and consideration for approval. This is a new variable annuity form and does not replace any form currently on file with your department. This form will be used on a general basis and will be marketed through our regular channels. This form is laser printed and we reserve the right to change fonts and layouts. No part of this filing contains unusual or possibly controversial items from normal Company or industry standards. Furthermore, Midland National Life assures you that this filing meets the provisions of Rule & Regulation 19, Regulation 49, and Arkansas Code Ann. 23-79-138.

We are filing this Endorsement to comply with the requirements of Section 240.12h-7 of the General R&R, SEC Act of 1934.

FORM AR214A - This Endorsement replaces the Ownership and Assignment provisions in the GENERAL PROVISIONS section of all Midland National Life Insurance Company individual and group variable annuity Contracts approved by your Department. Please refer to the attached Endorsement for complete replacement text.

This Endorsement will be attached to all new issues of Midland National Life Insurance Company individual and group variable Contracts going forward.

If you have further questions concerning this filing, please contact me at 1-877-586-0240 x 35881.

## **Company and Contact**

#### **Filing Contact Information**

Stacy Reece, Product Analyst sreece@mnlife.com
4601 Westown Parkway, Suite 300 515-440-5536 [Phone]
West Des Moines, IA 50266 515-440-5599 [FAX]

**Filing Company Information** 

Midland National Life Insurance Company CoCode: 66044 State of Domicile: Iowa

525 W. Van Buren Street Group Code: 431 Company Type: Life and Annuity

Chicago, IL 60607 Group Name: State ID Number:

(800) 800-3656 ext. [Phone] FEIN Number: 46-0164570

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## Filing Fees

Fee Required? Yes
Fee Amount: \$20.00
Retaliatory? No

Company Tracking Number: AR214A

TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

Project Name/Number: AR214A/AR214A

Fee Explanation: \$20.00 per form submitted separate from the contract.

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

Midland National Life Insurance Company \$20.00 08/27/2009 30167903

Company Tracking Number: AR214A

TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

Project Name/Number: AR214A/AR214A

# **Correspondence Summary**

### **Dispositions**

Status	Created By	Created On	Date Submitted
Approved- Closed	Linda Bird	08/28/2009	08/28/2009

SERFF Tracking Number: NALH-126282540 State: Arkansas
Filing Company: Midland National Life Insurance Company State Tracking Number: 43366

Company Tracking Number: AR214A

TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

Project Name/Number: AR214A/AR214A

## **Disposition**

Disposition Date: 08/28/2009

Implementation Date: Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

Company Tracking Number: AR214A

TOI: Sub-TOI: A10.000 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

Project Name/Number: AR214A/AR214A

Schedule Item Schedule Item Status Public Access

Supporting DocumentFlesch CertificationYesSupporting DocumentApplicationNoSupporting DocumentLife & Annuity - Acturial MemoNoFormOwnership/Assignment EndorsementYes

Company Tracking Number: AR214A

TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

Project Name/Number: AR214A/AR214A

#### Form Schedule

Lead Form Number: AR214A

Schedule	Form	Form Type Form Name	Action	Action Specific	Readability	Attachment
Item	Number			Data		
Status						
	AR214A	Policy/Cont Ownership/Assignmeract/Fraternnt Endorsement al Certificate: Amendment, Insert Page, Endorseme	eInitial		50.000	AR214A Ownership- Assignment Endorsement _FINAL.pdf
		nt or Rider				



#### A Stock Company

Principal Office: 4601 Westown Parkway, Suite 300, West Des Moines, IA 50266 ♦ (515) 440-5500 Executive Office: One Midland Plaza, Sioux Falls, SD 57193 ♦ (800) 923-3223

# OWNERSHIP/ASSIGNMENT ENDORSEMENT

This Endorsement is part of the Contract to which it is attached. The Contract to which this Endorsement is attached is modified as specified below.

The "Ownership" provision in the GENERAL PROVISIONS section of this Contract is deleted in its entirety and replaced with the following:

**Ownership:** You must receive prior approval from Us and give Us Written Notice informing Us of Your request to change the Owner of this Contract. We reserve the right to refuse Your request to change the Owner of this Contract. When Your Written Notice is received and upon approval by Us, Your request for change of Owner will take effect as of the date of Our recorded approval. We are not liable for any payments made by Us before the date of Our recorded approval.

The "Assignment" provision in the GENERAL PROVISIONS section of this Contract, is deleted in its entirety and replaced with the following:

**Assignment:** You must give Us Written Notice informing Us of Your request to assign this Contract. We reserve the right to refuse Your request to assign this contract. We will not be responsible for the validity of any assignment. We will not be liable for any payments We make prior to the date of Our recorded approval.

NO OTHER CHANGES, OTHER THAN THOSE LISTED ABOVE, ARE BEING MADE TO THIS CONTRACT.

Company Tracking Number: AR214A

TOI: A10 Annuities - Other Sub-TOI: A10.000 Annuities - Other

Product Name: Ownership/Assignment Endorsement

Project Name/Number: AR214A/AR214A

## **Supporting Document Schedules**

Item Status: Status

Date:

Satisfied - Item: Flesch Certification

Comments:

Please see filing description for certifications

Item Status: Status

Date:

Bypassed - Item: Application

Bypass Reason: NA. Not a policy filing.

Comments:

Item Status: Status

Date:

Bypassed - Item: Life & Annuity - Acturial Memo

Bypass Reason: NA

Comments: